



## Althea DRF Lifesciences Limited

(Formerly Known as Althea Lifesciences Limited)

22, Site IV, Sahibabad, Ghaziabad-201010 (U.P.),INDIA

Ph.: +91-(0120)-3378400, Fax: +91-(0120)-4152279

Website: www.altheadrflifesciences.com

CIN No. U33110DL2008PLC185857

# CORPORATE SOCIAL RESPONSIBILITY POLICY (CSR)

## ALTHEA DRF LIFESCIENCES LIMITED



CSR POLICY

Page 1 of 8

**Branch Office:**

407,4th Floor, Angel Mega Mall,  
CK-1, Kaushambi, Ghaziabad-201 010, U.P.

**Regd. Office:**

First Floor, 19, ASHI, Rouse Avenue Institutional Area,  
New Delhi-110002



# Althea DRF Lifesciences Limited

(Formerly Known as Althea Lifesciences Limited)

22, Site IV, Sahibabad, Ghaziabad-201010 (U.P.),INDIA

Ph.: +91-(0120)-3378400, Fax: +91-(0120)-4152279

Website: www.altheadrflifesciences.com

CIN No. U33110DL2008PLC185857

## CSR POLICY

### I. PREAMBLE

Althea DRF Lifesciences Limited's CSR Policy (ALTHEA) is inspired by the words of its founder Dr S K Burman who said: "*What is that life worth which cannot bring comfort to others*". While pursuing our business strategy of introducing various pre-clinical services and products that give our customers a step up in their research capabilities and facilitate launch of world class and clinically proven products. Althea operates in a manner that not just continues to generate an attractive return for shareholders, but also minimizes our impact on the environment and helps in replenishing the planet, while lending a helping hand to the community in various community assistance programs.

### II. INTRODUCTION

Althea DRF Lifesciences Limited is in the business of contract research and manufacturing services (CRAMS) which includes discovery studies, pre-clinical/ advanced pre-clinical studies, clinical studies (phase 1 & 2), formulations & dosage formulations. The main business of the Company is to act as an integrator by way of procuring business from Global Pharmaceutical, herbal, biopharmaceutical and cosmetic companies through its team of marketing and commercial personnel and then outsource the research & development and other relevant support activities to experienced and reputed service providers on long term contract basis and also to explore new opportunities in the field of pharmaceuticals sector. The Board of Directors of the Company has decided to adopt its CSR policy to comply with the objectives and requirements set out in Section 135 of Companies Act, 2013 and rules notified thereunder. The Company aims to be recognized as a progressive, valuable and socially responsible by contributing to the greater common good of our community and society.

### III. OUR CSR VISION

Through sustainable measures, actively contribute to the Social, Economic and Environmental Development of the community in which we operate ensuring participation from the community and thereby create value for the nation.

### IV. OUR CSR MISSION





## Althea DRF Lifesciences Limited

(Formerly Known as Althea Lifesciences Limited)

22, Site IV, Sahibabad, Ghaziabad-201010 (U.P.), INDIA

Ph.: +91-(0120)-3378400, Fax: +91-(0120)-4152279

Website: www.altheadrlifesciences.com

CIN No. U33110DL2008PLC185857

1. Ensuring socio-economic development of the community through different participatory and need- based initiatives in the best interest of the poor and deprived sections of the society so as to help them to become **SELF-RELIANT** and build a better tomorrow for themselves.
2. Ensuring environmental sustainability through water conservation, tree plantation & animals protection.

### V.CSR ACTIVITIES

The CSR activities we pursue will be in line with our stated Vision and Mission, focused on different geographies based on the needs of the communities. With this vision in mind, the Company proposes to carry out one or more of the following Corporate Social Responsibility activities (“CSR Activities”/ “CSR Projects”):

1. Promoting education, including Special Education and employment enhancing vocation skills especially among children, women, elderly and the differently abled and livelihood enhancement projects.
2. Eradicating hunger, poverty and malnutrition (promoting health including preventive health care) and sanitation (including contribution to the Swach Bharat Kosh set up by Central Government and making available safe drinking water.
3. Promoting gender equality, empowering women, setting up home for orphans, setting up old age home, day care centers and such other facilities for senior citizen, reducing inequalities faced by socially and economically backward groups.
4. Ensuring environment sustainability, ecological balance, animal welfare, agro forestry, conservation of natural resources and maintaining quality of soil, air and water including contribution to the Clean Ganga Fund set-up by the Central Government for rejuvenation of river Ganga.
5. Protection of national heritage, art and culture including restoration of buildings and sites of historical importance and works of art; setting up public libraries; promotion and development of traditional art and handicrafts;







## Althea DRF Lifesciences Limited

(Formerly Known as Althea Lifesciences Limited)

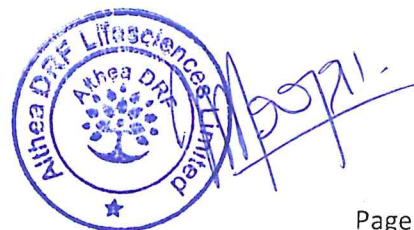
22, Site IV, Sahibabad, Ghaziabad-201010 (U.P.), INDIA

Ph.: +91-(0120)-3378400, Fax: +91-(0120)-4152279

Website: [www.altheadrflifesciences.com](http://www.altheadrflifesciences.com)

CIN No. U33110DL2008PLC185857

6. Measures for the benefit of armed forces veterans, war widows and their dependents, Central Armed Police Forces (CAPF) and Central Para Military Forces (CPMF) veterans, and their dependents including widows;
7. Training to promote rural sports, nationally recognized sports, paralympic sports and Olympic sports.
8. Contribution to the Prime minister's national relief fund or Prime Minister's Citizen Assistance and Relief in Emergency Situations Fund (PM CARES Fund)] or any other fund set up by the central government for socio economic development and relief and welfare of the schedule caste, tribes, other backward classes, minorities and women.
9. Contribution to incubators funded by Central Government or State Government or any agency or Public Sector Undertaking of Central Government or State Government, and contributions to public funded Universities, Indian Institute of Technology (IITs), National Laboratories and Autonomous Bodies (established under the auspices of Indian Council of Agricultural Research (ICAR), Indian Council of Medical Research (ICMR), Council of Scientific and Industrial Research (CSIR), Department of Atomic Energy (DAE), Defence Research and Development Organisation (DRDO), Department of Science and Technology (DST), Ministry of Electronics and Information Technology) engaged in conducting research in science, technology, engineering and medicine aimed at promoting Sustainable Development Goals (SDGs).
10. Rural developments projects.
11. Slum area developments.
12. Disaster management, including relief, rehabilitation and reconstruction activities.
13. Any other area as may be prescribed by Schedule VII amended from time to time.





## Althea DRF Lifesciences Limited

(Formerly Known as Althea Lifesciences Limited)

22, Site IV, Sahibabad, Ghaziabad-201010 (U.P.), INDIA

Ph.: +91-(0120)-3378400, Fax: +91-(0120)-4152279

Website: www.altheadrflifesciences.com

CIN No. U33110DL2008PLC185857

**VI. MODALITIES OF EXECUTION OF CSR ACTIVITIES** We will strive to implement the aforesaid CSR activities on our own to the extent possible. At the same time, we recognize the need to work in partnership with other players also. This would include:

1. Company through a trust/ society/Section 8 company in India established by the Company in accordance with the Corporate Social Responsibility Rules, 2013 (the “Rules”) to the Companies Act, 2013 (the “Act”)
2. Company through a trust/society /Section 8 company which has a proven track record of three (3) years.
3. Company in collaboration with other like-minded companies either directly or through trust/society /Section 8 company operating in India;
4. Contribution to various funds which are aligned with our Vision and Mission e.g.
  - o Prime Minister's National Relief Fund
  - o Any other fund set up by the Central Government for:
    - i. socio-economic development and relief.
    - ii. for the welfare of Scheduled Castes, the Scheduled Tribes, other
    - iii. Backward classes, minorities and women.
5. Collaborating or pooling resources with other companies to undertake aforesaid CSR activities.

The Company believes in being employee-driven in its approach and will encourage its employees to actively engage in CSR Activities voluntarily as part of their individual contribution to the community (“Volunteers”).

## VII. CSR COMMITTEE & RESPONSIBILITY

Pursuant to the provisions of Section 135 of the Companies Act 2013, the Board shall constitute the Corporate Social Responsibility (CSR) Committee to oversee the development, implementation and monitoring of the Company’s CSR activities (the “CSR Committee”).

The responsibilities of the CSR Committee and board shall include:

**Responsibility of the Board:** The Board is responsible for:

- a) Approving the CSR policy subject to necessary changes/modifications as the Board may deem fit.

CSR POLICY



Page 5 of 8

**Branch Office:**  
407,4th Floor, Angel Mega Mall,  
CK-1, Kaushambi, Ghaziabad-201 010, U.P.

**Regd. Office:**  
First Floor, 19, ASHI, Rouse Avenue Institutional Area,  
New Delhi-110002



## Althea DRF Lifesciences Limited

(Formerly Known as Althea Lifesciences Limited)

22, Site IV, Sahibabad, Ghaziabad-201010 (U.P.), INDIA

Ph.: +91-(0120)-3378400, Fax: +91-(0120)-4152279

Website: www.altheadrlifesciences.com

CIN No. U33110DL2008PLC185857

- b) Ensuring that the activities included in CSR policy are undertaken by the Company.
- c) Selection of CSR Projects recommended by CSR committee to be undertaken by Althea under the Policy as per the company's philosophy and in alignment with Act and Rules framed thereunder as amended from time to time.
- d) Ensuring that in each financial year the Company spends at-least 2% of average net profit before tax made during three immediate preceding financial years in accordance to Section 135 of The Company Act 2013. If company fails to spend such amount, The Board shall specify the reason for not spending the amount in its report under clause (o) of sub-section (3) of section 134.
- e) Disclose annual CSR Report and policy on the Company website and any other acts, deeds, and things as may be required under law from time to time.
- f) The Board may alter the annual action plan at any time during the Financial Year in accordance with the recommendations of its CSR Committee based on the reasonable justification.
- g) To ensure that the administrative overheads are not more than 5% of the total CSR Expenditure.
- h) To get certification from the Chief Financial Officer or person responsible for financial management that the funds so disbursed have been utilized for the purposes and in the manner as approved.
- i) Approve transfer of unspent CSR Amount in accordance with the law. The Finance Team shall prepare the statement of spent and unspent CSR amounts and shall assist and facilitate for transfer of the same.
- j) To identify and approve a CSR project as a multi-year/ongoing project and ensure its implementation as per approved timelines, year wise allocation and modify the same, as required.

**Responsibilities of CSR Committee:** CSR Committee would be responsible for:

- a) Formulating and recommending CSR Policy, CSR Projects and Annual Action Plan to the Board for approval.
- b) Recommendation of CSR Projects to be undertaken by Althea under the Policy as per the company's philosophy and in alignment with Act and Rules framed thereunder as amended from time to time.

CSR POLICY



Page 6 of 8

**Branch Office:**  
407,4th Floor, Angel Mega Mall,  
CK-1, Kaushambi, Ghaziabad-201 010, U.P.

**Regd. Office:**  
First Floor, 19, ASHI, Rouse Avenue Institutional Area,  
New Delhi-110002





## Althea DRF Lifesciences Limited

(Formerly Known as Althea Lifesciences Limited)

22, Site IV, Sahibabad, Ghaziabad-201010 (U.P.), INDIA

Ph.: +91-(0120)-3378400, Fax: +91-(0120)-4152279

Website: www.altheadrlifesciences.com

CIN No. U33110DL2008PLC185857

- c) Prepare and recommend to the Board an annual action plan in line with the CSR policy which shall include focus areas for the year, the list of projects to be undertaken, manner of execution, fund utilization, implementation schedules, monitoring and reporting mechanism etc.
- d) To carry out external and independent assessment to review quality of process, project measures and impact at different stages of implementation of the CSR Projects, as it may deem fit.
- e) The CSR Committee shall place before the Board the annual report on CSR Projects of the Company as per the Act.
- f) Recommending CSR budget to the Board that ought to be undertaken by the Company.
- g) Spend the allocated CSR budget on CSR activities once it is approved by the Board in accordance with The Companies Act 2013 and Rules.
- h) Create transparent monitoring mechanism for implementation of CSR initiatives in India.
- i) Continuously review the CSR policy and recommend modifications, if any, to the Board.

Submit the reports to the Board in respect of the CSR activities undertaken by the Company.

### VIII. CSR FUNDS

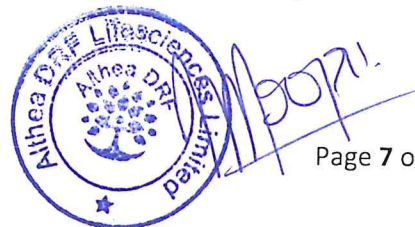
The corpus for the purpose of carrying on the aforesaid activities would include the following:

- 2% of the average Net Profit made by the Company during immediately preceding three Financial Years.
- Any income arising there from.
- Surplus arising out of CSR activities carried out by the company and such surplus will not be part of business profit of the company.

### IX. MONITORING

The Board has formed CSR Committee who will oversee the policy execution and prepare monitoring mechanism to ensure implementation of the projects, programmes and activities proposed to be undertaken by the Company as per the Policy. Project monitoring may include periodic field visits,

CSR POLICY



Page 7 of 8

**Branch Office:**  
407,4th Floor, Angel Mega Mall,  
CK-1, Kaushambi, Ghaziabad-201 010, U.P.

**Regd. Office:**  
First Floor, 19, ASHI, Rouse Avenue Institutional Area,  
New Delhi-110002



## Althea DRF Lifesciences Limited

(Formerly Known as Althea Lifesciences Limited)

22, Site IV, Sahibabad, Ghaziabad-201010 (U.P.), INDIA

Ph.: +91-(0120)-3378400, Fax: +91-(0120)-4152279

Website: www.altheadrlifesciences.com

CIN No. U33110DL2008PLC185857

comprehensive documentation, and if required interaction with beneficiary communities. The CSR Committee will submit an annual report to the Board stating the status of CSR activities and outlay on various projects/ programs. The CSR Committee shall ensure that the surplus arising out of CSR activities shall not form part of the business profit of the Company.

### X. REPORTING

The Board of Directors shall ensure that the activities as are included in this CSR Policy are undertaken by the company and that the company spends two per cent of the average net profits as stipulated, in pursuance of this CSR Policy giving preference to the local area and the national capital region. The Board shall provide an Annual Report on the CSR Activities in the prescribed format.

The Company will also ensure a responsibility statement of the CSR Committee that the implementation and monitoring of CSR Policy is in compliance with CSR objectives and Policy of the Company.

### XI. MANAGEMENT COMMITMENT

Our Board of Directors, our Management and all of our employees subscribe to the philosophy of compassionate care. We believe and act on an ethos of generosity and compassion, characterized by a willingness to build a society that works for everyone. This is the cornerstone of our CSR policy.

### XII. CONCLUSION

The above guidelines would form the framework around which the CSR activities would be undertaken.

The Board will review the Policy from time to time based on changing needs and aspirations of the target beneficiaries and make suitable modifications, as may be necessary.

